

# Portioned Static-Priority Scheduling on Multiprocessors \*

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## Abstract

*This paper proposes an efficient real-time scheduling algorithm for multiprocessor platforms. The algorithm is a derivative of the Rate Monotonic (RM) algorithm, with its basis on the portioned scheduling technique. The theoretical design of the algorithm is well implementable for practical use. The schedulability of the algorithm is also analyzed to guarantee the worst-case performance. The simulation results show that the algorithm achieves higher system utilizations, in which all tasks meet deadlines, with a small number of preemptions compared to traditional algorithms.*

## 1 Introduction

Embedded real-time applications in recent years have relied on a power of multiprocessor platforms. With the trend towards chip multiprocessing [20], processor clock frequency can be turned down to contain power consumption and heat generation for embedded computing. The concern herein is the guarantee of timing constraints for recurrent real-time computing. The well-known Rate Monotonic (RM) and Earliest Deadline First (EDF) algorithms [15], which are optimal in uniprocessor scheduling, are no longer optimal in multiprocessor scheduling [11]. They rather perform far poorly depending on properties of task sets. Therefore, alternative scheduling techniques specific for multiprocessor platforms have been discussed recently.

At present, the Pfair algorithms [1, 7, 8], the EKG algorithm [4], and the LLREF algorithm [9] are known optimal for multiprocessors. The worst-case bounds on the achievable system utilizations, with the guarantee of real-time constraints, offered by those algorithms are 100%. However, their computation complexities and scheduling overheads are controversial. Thus, more reasonable scheduling algorithms, which are not able to achieve utilization bounds of 100% but perform with less computation complexities and smaller numbers of task preemptions, are often preferable for practical use. For instance, the EDF-US[1/2] algorithm [5], the EDZL algorithm [10], and the Ehd2-SIP

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algorithm [12] are known to perform relatively well with small numbers of task preemptions, though their utilization bounds are down to 50% in the worst case. In fact, no algorithms except for the Pfair, EKG, and LLREF algorithms have ever achieved worst-case utilization bounds over 50%.

All the algorithms introduced above are categorized into dynamic-priority scheduling. In general, dynamic-priority scheduling suffers from the domino-effect problem that a deadline miss of a job causes another deadline miss of a following job. It also has a disadvantage of variational jitters in periodic executions, which are not desired in embedded control applications. The primary advantage of dynamic-priority scheduling has been its ability of achieving high utilization bounds, however such an advantage is not likely to stand on multiprocessors. Meanwhile, static-priority scheduling does not suffer from the domino-effect and periodic jitter problems, though its achievable utilization bound has been inferior to dynamic-priority scheduling. In recent years, Andersson *et al.* proved that static-priority scheduling is also able to achieve a utilization bound of 50% on multiprocessors, though no higher bounds cannot be obtained [3]. Therefore, this paper considers that static-priority scheduling may be more efficient than dynamic-priority scheduling for multiprocessor platforms.

This paper proposes an efficient real-time scheduling algorithm for multiprocessor platforms. The algorithm is a derivative of the RM algorithm. The objective of the algorithm to achieve high schedulable utilizations, i.e. system utilizations in which all recurrent real-time tasks are guaranteed to meet deadlines, with a small number of task preemptions. The worst-case utilization bound is also achieved 50%. In addition, this paper aims the theoretical design of the algorithm being implementable for practical use.

## 2 Related Work

Traditionally, there have been two approaches for scheduling real-time tasks on multiprocessors: *global scheduling* and *partitioned scheduling*. In global scheduling, all eligible tasks are stored in a single priority-ordered queue, and a global scheduler dispatches the same number of the highest priority tasks as processors from this queue. The relative order of the task priorities varies depending on which tasks are eligible, hence a task may migrate among processors. Meanwhile in partitioned scheduling, each task

is assigned to a single processor, on which each of its jobs will be executed, and processors are scheduled independently. Therefore, a task is executed on a dedicated processor and never migrates among processors.

Letting  $M$  be the number of processors, the global RM algorithm can miss a deadline even for the case in which tasks utilize only  $1/M$  of the system [11]. Baker generalized that a set of periodic tasks, all with deadlines equal to periods, is guaranteed to be schedulable using the RM algorithm, if the total utilization of the tasks does not exceed  $M(1 - u_{max})/2 + u_{min}$ , where  $u_{max}$  and  $u_{min}$  are the maximum and minimum utilizations of every individual task respectively [6]. Andersson *et al.* invented a global scheduling algorithm called RM-US[ $M^2/(3M - 2)$ ] [2], which places the highest priority to the tasks with utilizations higher than  $M^2/(3M - 2)$  and places the RM priorities to the other tasks. This prioritization boosts the worst-case utilization bound to  $M/(3M - 2)$ . Hereinafter, RM-US[ $M^2/(3M - 2)$ ] is denoted by RM-US for simplicity of description. Rama-murthy *et al.* proposed another global scheduling algorithm called Weight-Monotonic (WM) [21], which extends the Pfair scheduling method to take the static-priority assignment. In [3], Andersson *et al.* proved that the worst-case utilization bound of the WM algorithm is 50% that is higher than the RM and RM-US algorithms and no static-priority algorithms can transcend this bound. However, the WM algorithm generates a large number of task preemptions due to the characteristic of Pfair scheduling.

In general, partitioned scheduling approaches are preferred to global scheduling approaches for practical use, because no task migrations occur in partitioned scheduling and the scheduling problem can be reduced to a set of uniprocessor ones once the tasks are partitioned. The most well-known algorithm is RM-FF [11], which use the First-Fit (FF) heuristic to partition the tasks. Appending a little complexity, the RM-FFDU algorithm [19], which conducts the FF heuristic after sorting the tasks in decreasing order of utilizations, usually performs better than the RM-FF algorithm. R-BOUND-MP [14] is another efficient algorithm that takes similar schedulability tests and heuristics, in which tasks are initially sorted in increasing order of periods. Oh and Baker proved that a task set is guaranteed to be schedulable by the RM-FF algorithm if the system utilization does not exceed  $\sqrt{2} - 1 \approx 41\%$  [18]. More recently, because 41% was a lower bound and there was still room for improvement, Lopez *et al.* presented a tighter utilization bound for the RM-FF algorithm [17]. Using a similar technique, Lopez *et al.* also clarified the utilization bound of the RM-FFDU algorithm [16]. Andersson *et al.* considered using the next-fit-ring (NFR) heuristic for the R-BOUND-MP algorithm instead the FF heuristic, and then derived its worst-case utilization bound of 50% [3].

### 3 System Model

The system is a memory-shared multiprocessor composed of  $M$  processors:  $P_1, P_2, \dots, P_M$ . The code and data of programs (tasks) are shared among the processors. The

overhead of inter-processor communications is neglected. In other words, the cost of task migrations is not taken into account. Recent advancements of processor technology have somewhat allowed such an assumption. For example, the responsive multithreaded (RMT) processor, invented by Yamasaki [22], supports a hardware function to switch a context to another context in four clock cycles regardless the switching occurs whether between processors or within a processor. In addition, there is little point in taking notice of the cost of every context switch in terms of scheduling algorithms, since the cost highly depends on processor specifications. Thus, it is more variant to focus on how often context switches occur for the discussion of the run-time overhead. This paper takes the number of context switches as a performance metric of the run-time overhead. This paper also ignores the behavior of a cache system. The performance deterioration of computations due to transient degradation of the cache hit ratio, often caused by migrations, is out of focus. This sorts of concerns are turned over to the worst-case execution time analysis.

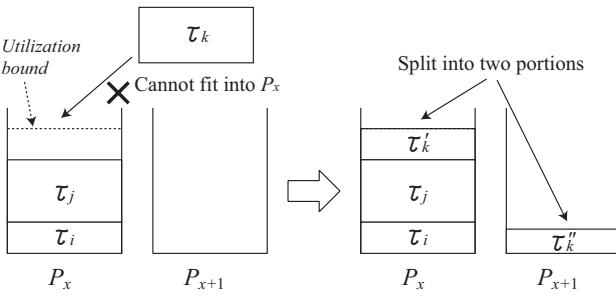
The system has a set of  $N$  periodic tasks, denoted by  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_N\}$ . The  $i$ th periodic task is defined by  $\tau_i(C_i, T_i)$  where  $C_i$  is its worst-case execution time and  $T_i$  is its period ( $C_i \leq T_i$ ). The processor utilization of  $\tau_i$  is defined by  $U_i = C_i/T_i$ . A task generates a sequence of jobs periodically. The  $j$ th job of  $\tau_i$  is denoted by  $\tau_{i,j}$  that is released at time  $r_{i,j}$  and its deadline is equal to the release time of the next job, i.e.  $d_{i,j} = r_{i,j+1} = r_{i,j} + T_i$ . The total utilization of the give task set is defined by  $U(\Gamma) = \sum_{\tau_i \in \Gamma} U_i$ . Also, letting  $\Lambda$  be any subset of the given task set, the total utilization of the subset is denoted by  $U(\Lambda) = \sum_{\tau_i \in \Lambda} U_i$ . The set of the tasks executed on a processor  $P_x$  is denoted by  $\Pi_x$ . All the tasks are preemptive and independent. Therefore, no tasks synchronize with any task, and make critical sections such as I/O processing. Any job of a task cannot be executed in parallel, which means that, for any  $i$  and  $j$ ,  $\tau_{i,j}$  cannot be executed in parallel on more than one processor.

## 4 Scheduling Algorithm

This section proposes the *Rate Monotonic Deferrable Portion* (RMDP) scheduling algorithm that is a derivative of the RM algorithm [15], with its basis on the portioned scheduling technique [12]. The algorithm is designed well implementable for practical use. In the following subsections, the basic strategy of the portioned scheduling technique is first introduced. Then, the theoretical design of the RMDP algorithm is presented. The schedulable condition and the worst-case utilization bound of the RMDP algorithm are finally analyzed.

### 4.1 Portioned Scheduling Technique

The portioned scheduling strategy [12] is formed of the task assigning phase and the task scheduling phase. In the task assigning phase, each task is assigned to a particular processor like the partitioning approach, as long as the task does not cause the total utilization of the processor to ex-



**Figure 1. Portioning example**

ceed its utilization bound. If the total utilization surpasses the utilization bound, the task is virtually split into two portions in the sense of utilization, whereas in the partitioning approach the task is just assigned to another processor which can receive the full utilization of the task. As for the virtually-split task, one portion is assigned to the processor to which the tasks are being assigned, and the other portion is assigned to the next processor to which the following tasks will be assigned. Notice that “virtually-split” means that the task is not really divided into two blocks, but its utilization is shared on the two processors. In this paper, such a task splitting is defined *portioning*.

Figure 1 shows an example of portioning. The height of a box in which the name of a task is indicated is the processor utilization of the task. The example presumes that  $\tau_i$  and  $\tau_j$  are already assigned to  $P_x$  and  $\tau_k$  is about to be assigned, but if assigned it causes the total utilization of  $P_x$  to exceed its utilization bound. The partitioning approach in this case just assigns  $\tau_k$  to another processor, such as  $P_{x+1}$ , while the portioning approach virtually splits  $\tau_k$  into two portions  $\tau'_k$  and  $\tau''_k$ . In this paper,  $\tau'_k$  is defined the *first portion* of  $\tau_k$  and  $\tau''_k$  is defined the *second portion* of  $\tau_k$ . Then,  $\tau'_k$  is assigned to  $P_x$  and  $\tau''_k$  is assigned to  $P_{x+1}$  respectively. As a result, the portioning approach obviously improves the utilization of  $P_x$  compared to the partitioning approach.

In the task scheduling phase,  $\tau_k$  is served by  $\tau'_k$  on  $P_x$  and is served by  $\tau''_k$  on  $P_{x+1}$ . In other words, letting  $C'_k$  and  $C''_k$  be the assigned execution times of  $\tau'_k$  and  $\tau''_k$  respectively,  $\tau_k$  consumes  $C'_k$  time units on  $P_x$  and  $C''_k$  time units on  $P_{x+1}$  within every period  $T_k$ . The execution times of  $\tau'_k$  and  $\tau''_k$  are computed as follows.

$$\begin{aligned} C'_k &= T_k(U_b - U_i - U_j) \\ C''_k &= C_k - C'_k \end{aligned}$$

Note that  $\tau'_k$  and  $\tau''_k$  form the same task  $\tau_k$ , thereby they cannot be scheduled simultaneously, since no jobs have parallelism under the assumption. Hence, the scheduling algorithm must be designed so that the first portion and the second portion of a split task are scheduled exclusively.

## 4.2 Task Assigning Algorithm

The task assigning algorithm of RMDP is straightforward. The algorithm assumes that the given task set is sorted so that the period of  $\tau_i$  is smaller than or equal to that

### Assumption:

- $i$  is the index of the tasks.
- $x$  is the index of the processors.
- $n$  is the number of the tasks assigned to  $P_x$ .
- $cs1$  is the execution time of the first portion.
- $cs2$  is the execution time of the second portion.
- $ts$  is the period of a split task.
- $tm$  is the minimal period of the tasks assigned to  $P_x$ .
- $U^*(P_x)$  is the utilization bound of  $P_x$ .
- $\Gamma$  is sorted so that  $T_1 \leq T_2 \leq \dots \leq T_n$ .

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1.    $i = x = n = 1$ 
2.    $cs1 = cs2 = ts = tm = 0$ 
3.    $\forall \Pi_x = \emptyset$ 
4.    $U_x^* = rmdp\_bound(n, T_i, cs1, cs2, ts, tm)$ 
5.   if  $U(\Pi_x) + U_i \leq U_x^*$ 
6.      $\Pi_x = \Pi_x \cup \tau_i$ 
7.   else if  $x < M$ 
8.      $C'_i = \{U_x^* - U(\Pi_x)\}T_i$  and  $C''_i = C_i - C'_i$ 
9.     split  $\tau_i$  into  $\tau'_i(C'_i, T_i)$  and  $\tau''_i(C''_i, T_i)$ 
10.     $\Pi_x = \Pi_x \cup \tau'_i$  and  $\Pi_{x+1} = \{\tau''_i\}$ 
11.     $x = x + 1$ 
12.     $n = 0$ 
13.    if  $i + 1 \leq N$ 
14.       $cs1 = C'_i, cs2 = C''_i, ts = T_i, tm = T_{i+1}$ 
15.    else
16.      return FAILURE
17.     $i = i + 1$ 
18.     $n = n + 1$ 
19.    if  $i \leq N$ 
20.      go back to step 4
21.    return SUCCESS

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**Figure 2. RMDP assigning algorithm**

of  $\tau_{i+1}$  for any  $i$ . Then, the algorithm assigns the tasks to the processors sequentially, and if some task causes the total utilization of a processor to exceed its utilization bound, it splits the task into two portions. The first portion is assigned to the processor which is caused to exceed its utilization bound, and the second portion is assigned to the next processor to which the following tasks will be assigned. This procedure is repeated until all the tasks are successfully assigned or no processors remain the capacities.

Figure 2 shows the pseudo code of the RMDP assigning algorithm. When the initializations are done (lines 1 to 3), the algorithm calls the *rmdp\_bound* function, which is indicated in Figure 3, to calculate the utilization bound of a processor  $P_x$  to which a task  $\tau_i$  will be assigned (line 4). The content of the *rmdp\_bound* function, i.e. the method of calculating the utilization bound, is particularly described in Section 5. Once the utilization bound  $U_x^*$  is calculated, the algorithm assigns  $\tau_i$  to a processor  $P_x$ , as long as the total utilization of  $P_x$  will not exceed the utilization bound (lines 5 to 6). If  $\tau_i$  causes  $P_x$  to exceed the utilization bound,  $\tau_i$  is going to be split into  $\tau'_i$  and  $\tau''_i$  according to the following procedure. At first, the execution times of  $\tau'_i$  and  $\tau''_i$  are calculated (line 8). Then,  $\tau_i$  is split into  $\tau'_i(C'_i, T_i)$  and

<b>Arguments:</b> $(n, T_i, C'_s, C''_s, T_s, T_{min})$	
1. <b>if</b> $C''_s = 0$	
2. <b>return</b> $n(2^{1/n} - 1)$	
3. <b>else</b>	
4. $L = \lceil (T_i - T_s + C'_s)/T_s \rceil$	
5. $U''_s = C''_s/T_s$	
6. $R_s = T_{min}/T_s$	
7. <b>return</b> $U''_s + n\{(2 - LU''_s/R_s)^{1/n} - 1\}$	

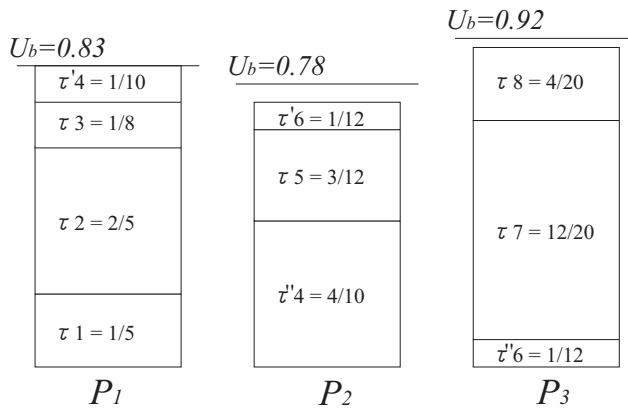
**Figure 3.** *rmdp\_bound* function

$\tau''_i(C''_i, T_i)$  (line 9).  $\tau'_i$  is assigned to  $P_x$  and  $\tau''_i$  is assigned to  $P_{x+1}$  (line 10). The algorithm saves the values of  $C'_i, C''_i, T_i$  and  $T_{i+1}$  (line 14) for carrying out the *rmdp\_bound* function in the next iteration. Finally, the algorithm goes back to the step 4 (line 20) as long as there are still tasks remaining to assign to the processors. When all the tasks are successfully assigned, the algorithm is succeeded (line 21).

Figure 4 depicts an example of task assignments by the RMDP assigning algorithm. Consider a task set  $\Gamma$  composed of eight tasks:  $T_i \leq T_{i+1}$ :  $\tau_1(1, 5), \tau_2(2, 5), \tau_3(1, 8), \tau_4(5, 10), \tau_5(3, 12), \tau_6(2, 12), \tau_7(12, 20)$ , and  $\tau_8(4, 20)$ . Note that  $\Gamma$  is already sorted so that  $T_i \leq T_{i+1}$  is satisfied for any  $i$  where ties of periods are broken arbitrarily. As stated above, the algorithm sequentially assigns the tasks. Evaluating the *rmdp\_bound* function with  $n = 1$ , the utilization bound of 100% is obtained, and hence  $\tau_1$  can be assigned to  $P_1$ . Next, the algorithm is supposed to evaluate the *rmdp\_bound* function with  $n = 2$ . According to Kuo and Mok [13], the number of the tasks is reduced to the number of the harmonic chains in RM scheduling. A harmonic chain is a group of the tasks whose periods have the same least common multiple. Taking the harmonic chains into account, the 23rd line of Figure 2 can be extended so that it is carried out only if the period of the next task is not included in any harmonic chains. Thus, the example evaluates the *rmdp\_bound* function with  $n = 1$  due to  $T_1 = T_2$ , and  $\tau_2$  can be of course assigned to  $P_1$ .  $\tau_3$  does not have a period with the same least common multiple as  $\tau_1$  and  $\tau_2$ , then  $n = 2$  is input to the *rmdp\_bound* function, while  $\tau_4$  has a period with the same least common multiple as them, and hence  $n = 2$  is remained to the *rmdp\_bound* function. The resulting utilization bound of 0.83 is obtained by the *rmdp\_bound* function for  $n = 2$ . Since  $U_1 + U_2 + U_3 + U_4 = 1.225$  exceeds 0.83,  $\tau_4$  is split into  $\tau'_4(1, 10)$  and  $\tau''_4(4, 10)$  so that  $U_1 + U_2 + U_3 + U'_4 = 0.825 \leq 0.83$  and  $U''_4 = U_4 - U'_4$ . By the same token, the rest of the tasks are classified into  $\Pi_2 = \{\tau''_4(4, 10), \tau_5, \tau'_6(1, 12)\}$  and  $\Pi_3 = \{\tau''_6(1, 12), \tau_7, \tau_8\}$ , though the utilization bounds are calculated with taking into account that split tasks are assigned in those sets.

### 4.3 Task Scheduling Algorithm

This section presents the task scheduling algorithm of RMDP. Like partitioned scheduling, each processor has its own scheduler to schedule the assigned tasks on the processor. All the schedulers have the same scheduling poli-



**Figure 4. RMDP assigning example**

cies. The following descriptions focus on the scheduling on a processor  $P_x$  where a task  $\tau_i$  submits its second portion  $\tau''_i$  and another task  $\tau_k$  submits its first portion  $\tau'_k$ . The schedulers on other processors perform in the same manner, since the same scheduling policies are applied.

The basic policy of the scheduling algorithm is that the tasks are scheduled according to the RM algorithm except for the case in which  $\tau''_i$  is ready but its corresponding first portion  $\tau'_i$  is on execution on a neighbor processor  $P_{x-1}$ . In this case, the RMDP scheduler dispatches a task with the second highest priority so as not to execute the first and the second portions of  $\tau_i$  simultaneously. In other words, the second portion is deferred with the highest priority. Therefore, the prioritization discipline of the RMDP algorithm is not static in a true sense.

Figure 5 shows the pseudo code of the RMDP scheduler. Every time any tasks are released on  $P_x$ , the *schedule\_P\_x* function is invoked (lines 1 to 7). Let  $t$  be such a time. At time  $t$ , if the split tasks  $\tau_i$  and  $\tau_k$  are released, the scheduler needs to reset the variables  $t_i, t_k, e_i$  and  $e_k$ , in order to track the remaining execution times of those tasks. Note that  $\tau_i$  and  $\tau_k$  are not allowed to consume the processor time of  $P_x$  over the capacities  $C''_i$  and  $C'_k$  respectively, but the tasks cannot recognize voluntarily that they exhaust the capacities. Thus, the scheduler needs to track the remaining execution times of those tasks to preempt their executions using timer functions.

The scheduler function proceeds as follows. First of all, if the currently-running task is either a split portion of  $\tau_i$  or  $\tau_j$ , the scheduler saves its remaining execution time (lines 11 to 13). Then, it selects a task with the shortest period (line 15). If the selected highest-priority task is  $\tau_i$  that has its second portion on the processor (line 16), the scheduler examines whether  $\tau_i$  is currently in execution on a neighbor processor  $P_{x+1}$  (line 17). If it is in execution, the scheduler re-selects a task with the second shortest period (line 18). Otherwise,  $\tau_i$  is going to be dispatched and executed, so the scheduler updates the timer to invoke the *second\_end* function at time  $t + e_i$ , which preempts the execution of  $\tau_i$  (line 20). Notice that the *second\_end* function may not be invoked at time  $t + e_i$ , since it may be updated if  $\tau_i$  is preempted and later dispatched again within the same period.

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**Assumption:**

- $\tau_i$  is a split task between  $P_{x-1}$  and  $P_x$ .
- $\tau_k$  is a split task between  $P_x$  and  $P_{x+1}$ .
- $t$  is the current time.
- $t_i$  is the last time at which  $\tau_i$  is dispatched on  $P_x$ .
- $t_k$  is the last time at which  $\tau_k$  is dispatched on  $P_x$ .
- $e_i$  is the remaining execution time of  $\tau_i$ .
- $e_k$  is the remaining execution time of  $\tau_k$ .

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```
1. function system_tick
2.   if any tasks are released on  $P_x$ 
3.     if  $\tau_i$  is released
4.        $e_i = C'_i$  and  $t_i = t$ 
5.     if  $\tau_k$  is released
6.        $e_k = C'_k$  and  $t_k = t$ 
7.     call schedule $_P_x$ 
8.   function schedule $_P_x$ 
9.     if  $P_x$  is idling
10.      go to step 15
11.     if  $\tau_i$  is currently running
12.        $e_i = e_i - (t - t_i)$ 
13.     else if  $\tau_k$  is currently running
14.        $e_k = e_k - (t - t_k)$ 
15.     let  $\tau_j$  be a task with the shortest period
16.     if  $\tau_j$  refers to  $\tau_i$ 
17.       if  $\tau_i$  is in execution on  $P_{x-1}$ 
18.         let  $\tau_j$  be a task with the second shortest period
19.       else
20.         update the timer to invoke p2_finished at  $t + e_i$ 
21.          $t_i = t$ 
22.     else if  $\tau_j$  refers to  $\tau_k$ 
23.       update the timer to invoke p1_finished at  $t + e_k$ 
24.        $t_k = t$ 
25.       if  $\tau_k$  is in execution on  $P_{x+1}$ 
26.         invoke schedule $_P_{x+1}$  on  $P_{x+1}$ 
27.       dispatch and execute  $\tau_j$  on  $P_x$ 
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**Figure 5. RMDP scheduling algorithm**

Time  $t + e_i$  is just the earliest time at which  $\tau_i$  can exhaust  $C''_i$ . As long as the remaining execution time of  $\tau_i$  is tracked,  $\tau_i$  is guaranteed not to overrun the capacity  $C''_i$  on  $P_x$ . After updating the timer, the scheduler must save the current time as the last dispatched time of  $\tau_i$  for tracking its remaining execution time. By the same token, if the selected task is  $\tau_k$  that has its first portion on the processor (line 22), the scheduler updates the other timer to invoke the *first\_end* function at time  $t + e_k$ , which preempts the execution of  $\tau_k$ , and saves the current time as the last dispatched time of  $\tau_k$  (lines 23 to 24). Unlike the case of  $\tau_i$ , if the corresponding second portion of  $\tau_k$  is currently in execution on  $P_{x+1}$  (line 25), the scheduler needs to invoke the scheduler function that is operating on  $P_{x+1}$  to let it reschedule the tasks on  $P_{x+1}$  (line 26), since the second portion must be deferred while the corresponding first portion is executed. Finally, the scheduler executes the selected task on  $P_x$  (line 27).

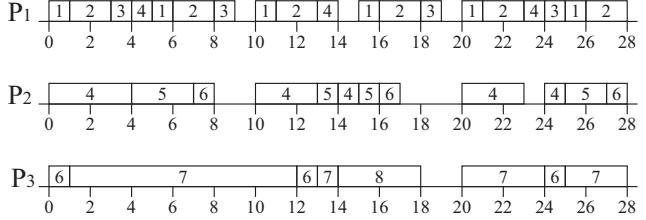
The job finishing functions are indicated in Figure 6. When any jobs except for those of the split tasks are completed, the jobs call the *job\_end* function (lines 1 to 3). The *first\_end* and *second\_end* functions are called only through the timers. Those functions call the scheduler to reschedule

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1. **function** *job\_end*
2. remove the caller task from a ready set
3. call *schedule* $_P_x$
4. **function** *first\_end*
5. remove  $\tau_r$  from a ready set
6. call *schedule* $_P_x$
7. **if**  $\tau_k$  is ready on  $P_{x+1}$
8. invoke *schedule* $_P_{x+1}$  on  $P_{x+1}$
9. **function** *second\_end*
10. remove  $\tau_i$  from a ready set
11. call *schedule* $_P_x$

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**Figure 6. Job finishing functions**



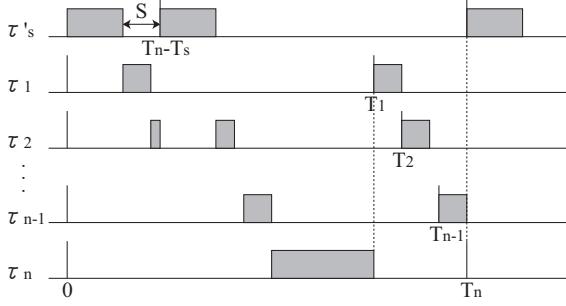
**Figure 7. RMDP scheduling example**

the tasks (lines 3, 6, and 11). Only when  $\tau_k$  consumes the capacity  $C'_k$  on  $P_x$  but has not consumed the capacity  $C''_k$  on  $P_{x+1}$ , the scheduler invokes the scheduler operating on  $P_{x+1}$  to execute  $\tau_k$  on  $P_{x+1}$ , since  $\tau_k$  has the highest priority on  $P_{x+1}$  but has been deferred.

Figure 7 indicates how the three task sets of  $\Pi_1 = \{\tau_1(1, 5), \tau_2(2, 5), \tau_3(1, 8), \tau'_4(1, 10)\}$ ,  $\Pi_2 = \{\tau''_4(4, 10), \tau_5(3, 12), \tau'_6(1, 12)\}$  and  $\Pi_3 = \{\tau''_6(1, 12), \tau_7(12, 20), \tau_8(4, 20)\}$ , are scheduled by the RMDP algorithm. Since  $\Pi_1$  does not include the second portion of a split task, the task set is scheduled completely according to the RM policy. Meanwhile,  $\Pi_2$  includes  $\tau''_4$  and it is interfered by its corresponding first portion  $\tau'_4$ . At time  $t = 0$ ,  $\tau''_4$  is scheduled on  $P_2$  and then completed at time  $t = 4$  without any interference from  $\tau'_4$ , because  $\tau'_4$  is scheduled at time  $t = 4$  on  $P_1$ . As for the second job of  $\tau_4$ , on the other hand,  $\tau''_4$  is scheduled at time  $t = 10$  on  $P_2$  but is preempted at time  $t = 13$ , because  $\tau'_4$  is scheduled at this time on  $P_1$ . Hence,  $\tau_5$  is scheduled instead of  $\tau''_4$  and  $\tau''_4$  is later resumed when  $\tau'_4$  is completed at time  $t = 14$ . The third job of  $\tau_4$  also has the same situation. In this case, there are no ready tasks on  $P_1$  when  $\tau''_4$  is preempted at time  $t = 23$ . Therefore, the time slot is left idle. Although  $\Pi_3$  also includes the second portion  $\tau''_6$ , the tasks on  $P_3$  can be scheduled without any restrictions within the example, since  $\tau'_6$  and  $\tau''_6$  are never overlapped in RM scheduling.

## 5 Schedulability Analysis

This section provides the schedulability analysis of the RMDP algorithm to guarantee the worst-case performance. The analysis has the following assumptions. In the task assigning phase, some task  $\tau_s$  is split and its second portion  $\tau''_s$  is assigned to a processor  $P_x$ . Then, the following  $n$



**Figure 8. Case in which  $\tau_s''$  is executed twice within  $T_1$  and  $T_n$**

tasks, denoted by  $\tau_1, \tau_2, \dots, \tau_n$  for simplicity of description, are also assigned to  $P_x$ . Note that the first portion of a split task is not a concern, because the RMDP scheduler treats it in the same manner as the non-split tasks. The goal of the analysis is to derive a formula leading to the schedulable condition of  $P_x$  for any  $x$ .

According to the RM analysis [15], the minimal schedulable utilization for  $n$  tasks occurs for the case in which all the tasks are released at the same time with the following relations:  $T_1 < T_n < 2T_1$ ,  $C_i = T_{i+1} - T_i$  ( $1 \leq i \leq n-1$ ) and  $C_n = 2T_1 - T_n$ . Thus, the minimal schedulable utilization for non-split  $n$  tasks in the RMDP algorithm also occurs for this condition, those tasks are scheduled according to the RM algorithm. Now therefore, the worst-case phasing of  $\tau_s''$  is a concern. For a fixed value of  $U_s''$ , the remaining utilization of  $P_x$  is obviously minimized when  $\tau_s''$  submits as many jobs as possible. That occurs for the case in which an arbitrary job of  $\tau_s''$  is deferred as much as possible and its following jobs are executed without any preemptions as soon as they are released. Such worst-case phasing is classified into three cases, shown in Figure 8, Figure 9 and Figure 10. The difference between the three phases is that  $\tau_s''$  is executed (i) twice within  $T_1$  and  $T_n$  in the phase 1, (ii) three times within  $T_1$  and  $T_n$  in the phase 2, and (iii) twice within  $T_1$  and three times within  $T_n$  in the phase 3. The analysis does not need to consider the case in which  $\tau_s''$  is executed more than three times within  $T_1$  or  $T_n$ , since the condition of the worst-case phasing includes  $T_1 < T_n < 2T_1$ .

For simplicity of description, the fraction of  $T_s$  and  $T_1$  is defined  $R_s = T_1/T_s$  and that of the periods of two consecutive tasks  $\tau_i$  and  $\tau_{i+1}$  is defined  $R_i = T_{i+1}/T_i$  henceforth. Notice that  $\forall k, R_1 R_2 \cdots R_{k-1} = T_k/T_1$ . Also it is necessary to have the minimum slack  $S$  in the figures to obtain the schedulable utilization. Since  $\tau_s''$  has the highest priority on the processor, it is only deferred by  $\tau_s'$  scheduled on the neighbor processor. Therefore, the latest finish time of  $\tau_s''$  is  $r_{s,k} + C_s' + C_s'' = r_{s,k} + C_s = r_{s,k+1} - (T_s - C_s)$ . As a result, the minimal slack can be expressed by  $S = T_s - C_s$ .

## 5.1 Case of Phase 1

The analysis begins with the simplest case in which  $\tau_s''$  is executed twice within  $T_1$  and  $T_n$  as shown in Figure 8.

Under this relation, the execution times of the  $n$  tasks are denoted as follows.

$$\begin{aligned} C_i &= T_{i+1} - T_i \quad (1 \leq i \leq n-1) \\ C_n &= T_1 - 2C_s'' - \sum_{j=1}^{n-1} C_j = 2T_1 - 2C_s'' - T_n \end{aligned}$$

Hence, the resulting utilization is written as Equation (1).

$$\begin{aligned} U &= \frac{C_s''}{T_s} + \frac{C_1}{T_1} + \frac{C_2}{T_2} + \cdots + \frac{C_n}{T_n} \\ &= U_s'' + \sum_{i=1}^{n-1} \frac{T_{i+1} - T_i}{T_i} + \frac{2T_1 - 2C_s'' - T_n}{T_n} \\ &= U_s'' + \sum_{i=1}^{n-1} \frac{T_{i+1}}{T_i} + 2 \left(1 - \frac{C_s''}{T_1}\right) \frac{T_1}{T_n} - n \\ &= U_s'' + \sum_{i=1}^{n-1} R_i + \frac{2 \left(1 - \frac{U_s''}{R_s}\right)}{R_1 R_2 \cdots R_{n-1}} - n \end{aligned} \quad (1)$$

In order to minimize  $U$  over  $R_i$ , the above expression is partially differentiated with respect to  $R_i$ .

$$\frac{\partial U}{\partial R_i} = 1 - \frac{2 \left(1 - \frac{U_s''}{R_s}\right)}{R_i^2 (\prod_{j \neq i}^{n-1} R_j)}$$

Now,  $U$  is minimized when each  $R_i$  satisfies the following equation where  $P = R_1 R_2 \cdots R_{n-1}$ .

$$R_i P = 2 \left(1 - \frac{U_s''}{R_s}\right) \quad (1 \leq i \leq n-1)$$

That is,  $U$  is minimized when all the  $R_i$  have the same value.

$$R_1 = R_2 = \cdots = R_{n-1} = \left\{ 2 \left(1 - \frac{U_s''}{R_s}\right) \right\}^{1/n}$$

By substituting the value of each  $R_i$  to Equation (1), the utilization bound  $U_b$  is obtained as follows. Here, let  $K = 2(1 - U_s''/R_s)$  due to limitation of space.

$$\begin{aligned} U_b &= U_s'' + (n-1)K^{1/n} + \frac{K}{K^{n-1/n}} - n \\ &= U_s'' + nK^{1/n} - K^{1/n} + K^{1/n} - n \\ &= U_s'' + n \left\{ \left( \frac{2(1 - U_s'')}{R_s} \right)^{1/n} - 1 \right\} \end{aligned}$$

The above expression implies that  $U_b$  is moreover minimized by reducing the value of  $R_s$ . According to Figure 8, the condition below must be satisfied.

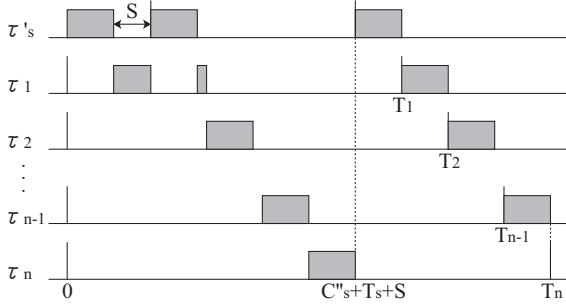
$$\sum_{i=1}^n C_i = T_1 - 2C_s'' \geq S = T_s - C_s$$

Dividing by  $T_s$ , the range of  $R_s$  is acquired as follows.

$$\begin{aligned} R_s - 2U_s'' &\geq 1 - U_s \\ R_s &\geq 2U_s'' - U_s + 1 \end{aligned}$$

The condition of  $T_s \leq T_1$  leads to  $R_s \geq 1$ . Hence,  $U_b$  is minimized when  $R_s = \max\{1, 2U_s'' - U_s + 1\}$ . Finally,  $U_b$  is described by Equation (2) where  $R_s = \max\{1, 2U_s'' - U_s + 1\}$ .

$$U_b = U_s'' + n \left[ \left\{ 2 \left(1 - \frac{U_s''}{R_s}\right) \right\}^{1/n} - 1 \right] \quad (2)$$



**Figure 9. Case in which  $\tau''_s$  is executed three times within  $T_1$  and  $T_n$**

Taking the limit as  $n \rightarrow \infty$ , the worst case is derived.

$$\lim_{n \rightarrow \infty} U_b = U''_s + \ln \left\{ 2 \left( 1 - \frac{U''_s}{R_s} \right) \right\} \quad (3)$$

Equation (3) is a monotonically-increasing function with respect to  $R_s$ . Since  $T_s \leq T_1$ ,  $R_s$  is never less than 1. Hence, Equation (3) is minimized to Equation (4) with  $R_s = 1$ .

$$\hat{U}_b = U''_s + \ln \{ 2(1 - U''_s) \} \quad (4)$$

Consequently, the absolute minimum value of the utilization bound becomes  $\hat{U}_b = 0.5$  with  $U''_s = 0.5$  and  $U_s = 1$ . Note that this bound is given only for the situation in which  $T_s = T_1 = T_2 = \dots = T_n$  and  $C_1 = C_2 = \dots = C_n = 0$ .

## 5.2 Case of Phase 2

The analysis moves on the case in which  $\tau''_s$  is executed three times within  $T_1$  and  $T_n$  as shown in Figure 9. The execution times of the  $n$  tasks are defined as follows.

$$\begin{aligned} C_i &= T_{i+1} - T_i \quad (1 \leq i \leq n-1) \\ C_n &= T_1 - 3C''_s - \sum_{j=1}^{n-1} C_j = 2T_1 - 3C''_s - T_n \end{aligned}$$

Hence, the resulting utilization is written as Equation (5).

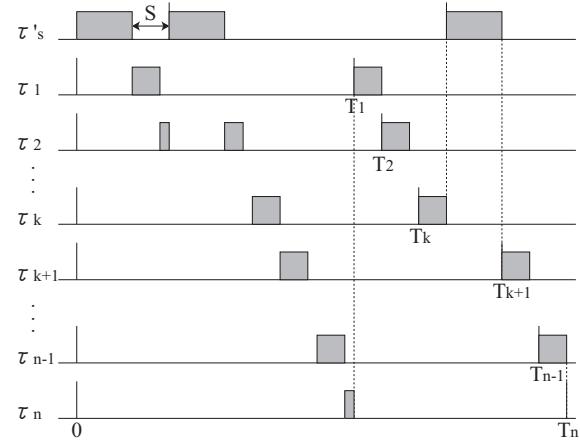
$$\begin{aligned} U &= U''_s + \sum_{i=1}^{n-1} \frac{T_{i+1}}{T_i} + \left( 2 - \frac{3C''_s}{T_1} \right) \frac{T_1}{T_n} - n \\ &= U''_s + \sum_{i=1}^{n-1} R_i + \frac{2 - \frac{3U''_s}{R_s}}{R_1 R_2 \dots R_{n-1}} - n \end{aligned} \quad (5)$$

The value of  $R_i$  that minimizes Equation (5) can be calculated by the same step in Section 5.1. That is, the utilization bound is obtained by the following expression.

$$U_b = U''_s + n \left\{ \left( 2 - \frac{3U''_s}{R_s} \right)^{1/n} - 1 \right\}$$

Notice that the above expression is a monotonically-increasing function with respect to  $R_s$ . Hence, it is minimized by reducing the value of  $R_s$ . In order to find the minimum value of  $R_s$ , the value of  $T_1$  must be explored first. Figure 9 leads to  $T_1$  as follows.

$$T_1 = T_s + 2C''_s + S = 2T_s + 2C''_s - C_s$$



**Figure 10. Case in which  $\tau''_s$  is executed twice within  $T_1$  and three times within  $T_n$**

Dividing by  $T_s$ , the value of  $R_s$  is acquired as follows.

$$R_s = 2U''_s - U_s + 2$$

Hence,  $U_b$  is now expressed by Equation (6).

$$\begin{aligned} U_b &= U''_s + n \left\{ \left( 2 - \frac{3U''_s}{2U''_s - U_s + 2} \right)^{1/n} - 1 \right\} \\ &= U''_s + n \left\{ \left( \frac{U''_s - 2U_s + 4}{2U''_s - U_s + 2} \right)^{1/n} - 1 \right\} \end{aligned} \quad (6)$$

Taking the limit as  $n \rightarrow \infty$ , the worst case is appeared.

$$\lim_{n \rightarrow \infty} U_b = U''_s + \ln \left( \frac{U''_s - 2U_s + 4}{2U''_s - U_s + 2} \right) \quad (7)$$

In order to find the value of  $U''_s$  minimizing  $U_b$ , Equation (7) is differentiated with respect to  $U''_s$ .

$$\frac{dU_b}{dU''_s} = \frac{2U''_s^2 + (10 - 5U_s)U''_s + 2U_s^2 - 5U_s + 2}{(U''_s - 2U_s + 4)(2U''_s - U_s + 2)}$$

Finally, the value of  $U''_s$  that minimizes  $U_b$  is obtained by Equation (8).

$$U''_s = \frac{5U_s - 10 + \sqrt{9U_s^2 - 60U_s + 84}}{4} \quad (8)$$

Since  $U''_s \geq 0$ , the absolute minimum value of the utilization bound becomes  $\hat{U}_b \approx 0.652$  with  $U''_s \approx 0.186$  and  $U_s = 1$ . Since the previous case has a lower utilization bound of 50%, this case is not the worst case.

## 5.3 Case of Phase 3

The final case the analysis considers is a combination of the previous two cases. Since  $\tau''_s$  is executed twice within  $T_1$  while is executed three times within  $T_n$ , the execution times of the  $n$  tasks are denoted as follows.

$$\begin{aligned} C_i &= T_{i+1} - T_i \quad (1 \leq i \leq k-1) \\ C_k &= T_{k+1} - C''_s - T_k \\ C_i &= T_{i+1} - T_i \quad (k+1 \leq i \leq n-1) \\ C_n &= T_1 - 2C''_s - \sum_{j=1}^{n-1} C_j = 2T_1 - C''_s - T_n \end{aligned}$$

Then, the resulting utilization is written as Equation (9).

$$\begin{aligned}
U &= U''_s + \sum_{i=1}^{k-1} \frac{T_{i+1} - T_i}{T_i} + \frac{C_k}{T_k} + \sum_{i=k+1}^{n-1} \frac{T_{i+1} - T_i}{T_i} + \\
&\quad \frac{2T_1 - C''_s - T_n}{T_n} \\
&= U''_s + \sum_{i=1}^{k-1} \frac{T_{i+1}}{T_i} + U_k + \sum_{i=k+1}^{n-1} \frac{T_{i+1}}{T_i} + \left(2 - \frac{C''_s}{T_1}\right) \frac{T_1}{T_n} - \\
&\quad (n-1) \\
&= U''_s + \sum_{i=1}^{k-1} R_i + U_k + \sum_{i=k+1}^{n-1} R_i + \frac{2 - \frac{U''_s}{R_s}}{R_1 R_2 \cdots R_{n-1}} - \\
&\quad (n-1) \tag{9}
\end{aligned}$$

Unfortunately, it is too complicated to explore the value of  $R_i$  that minimizes Equation (9) with respect to two variables of  $n$  and  $k$ . Therefore, let the value of  $k$  be fixed first.  $U_k$  is described by  $U_k = R_k - C''_s / T_k - 1$  due to  $C_k = T_{k+1} - C''_s - T_k$ , Equation (9) can be transformed as follows.

$$U = U''_s + \sum_{i=1}^{n-1} R_i - \frac{C''_s}{T_k} + \frac{2 - \frac{U''_s}{R_s}}{R_1 R_2 R_3 \cdots R_{n-1}} - n$$

The above expression implies that Equation (9) is obviously minimized for  $k = 1$  due to  $T_1 \leq T_2 \leq \cdots \leq T_n$ . Therefore, Equation (9) is reduced as follows.

$$U = U''_s + U_1 + \sum_{i=2}^{n-1} R_i + \frac{2R_s - U''_s}{R_s R_1 R_2 R_3 \cdots R_{n-1}} - (n-1)$$

In order to minimize  $U$ , the above expression is differentiated with respect to  $R_i$  as follows, where  $2 \leq i \leq n-1$ .

$$\frac{\partial U}{\partial R_i} = 1 - \frac{2R_s - U''_s}{R_s R_1 R_i^2 \prod_{j \neq i}^{n-1} R_j}$$

That is,  $U$  is minimized when all the  $R_i$  have the same value.

$$R_2 = R_3 = \cdots = R_{n-1} = \left( \frac{2R_s - U''_s}{R_s R_1} \right)^{1/(n-1)}$$

Now, the minimum value of  $U$  is described as follows.

$$\begin{aligned}
U &= U''_s + U_1 + (n-2) \left( \frac{2R_s - U''_s}{R_s R_1} \right)^{1/(n-1)} + \\
&\quad \frac{2R_s - U''_s}{R_s R_1 \left( \frac{2R_s - U''_s}{R_s R_1} \right)^{1-1/(n-1)}} - (n-1) \\
&= U''_s + U_1 + (n-1) \left\{ \left( \frac{2R_s - U''_s}{R_s R_1} \right)^{1/(n-1)} - 1 \right\}
\end{aligned}$$

$C_k = T_{k+1} - C''_s - T_k$  and  $k = 1$  lead to  $R_1 = U_1 + U''_s / R_s + 1$ . Also, the value of  $R_s$  that minimizes the above expression is  $\max\{1, 2U''_s - U_s + 1\}$  as in Section 5.1. Finally, the utilization bound  $U_b$  is described by Equation (10) where  $R_s = \max\{1, 2U''_s - U_s + 1\}$  and  $m = n-1$ .

$$U_b = U''_s + U_1 + m \left[ \left\{ \frac{2R_s - U''_s}{R_s(U_1 + 1) + U''_s} \right\}^{1/m} - 1 \right] \tag{10}$$

Taking the limit as  $n \rightarrow \infty$ , the worst case is appeared.

$$\lim_{n \rightarrow \infty} U_b = U''_s + U_1 + \ln \left\{ \frac{2R_s - U''_s}{R_s(U_1 + 1) + U''_s} \right\} \tag{11}$$

By the same token as Section 5.1, the minimum of Equation (11) is expressed by Equation (12).

$$\hat{U}_b = U''_s + U_1 + \ln \left( \frac{2 - U''_s}{U''_s + 1 + U_1} \right) \tag{12}$$

Seeking the values of  $U''_s$  and  $U_1$  that minimizes  $\hat{U}_b$ , the absolute minimum bound is obtained  $\hat{U}_b \approx 0.5$  with  $U''_s = 1/2$  and  $U_1 = 0$ . This bound occurs only for the situation in which  $T_s = T_1 = T_2 = \cdots = T_k$ ,  $T_{k+1} = T_{k+2} = \cdots = T_n = T_s + C''_s$  and  $C_1 = C_2 = \cdots = C_n = 0$ . Comparing the analyzed three cases, the worst-case utilization bound of each processor is finally derived 50%. That is, the utilization bound of the entire system is also 50%.

## 5.4 General Case

The tasks are sorted so that  $T_i \leq T_{i+1}$ , hence  $T_1$  is a known value when  $\tau_s$  is split. In other words,  $R_s$  is a known value when  $\tau_s$  is split. Therefore, the worst-case is not necessarily presumed, but the utilization bound can be calculated by either Equation (2), Equation (6), or (10).

The analysis now proceeds to consider the general case. Equation (5) can be rewritten as follows.

$$U = U''_s + \sum_{i=1}^{n-1} \frac{T_{i+1}}{T_i} + \left(2 - \frac{C''_s}{T_1}\right) \frac{T_1}{T_n} - n - \frac{2C''_s}{T_n} \tag{13}$$

Equation (9) can be also rewritten as follows.

$$U = U''_s + \sum_{i=1}^{n-1} \frac{T_{i+1}}{T_i} + \left(2 - \frac{C''_s}{T_1}\right) \frac{T_1}{T_n} - n - \frac{\frac{T_n}{T_k} C''_s}{T_n} \tag{14}$$

Notice that  $T_n / T_k$  in Equation (14) never exceeds 2, because  $\tau''_s$  must be executed twice within  $T_k$  and three times within  $T_n$ . Hence, Equation (5) is always smaller than Equation (9) with respect to the same set of  $\{T_s, T_1, T_2, \dots, T_n\}$ . This fact implies that the utilization bound for the case in which  $\tau''_s$  is executed  $L$  times within  $T_1$  and  $T_n$  is always smaller than that for the case in which  $\tau''_s$  is executed  $F < L$  times within  $T_1$  and is executed  $L$  times within  $T_n$ . Therefore, the analysis needs to concern only the case in which  $\tau''_s$  is executed  $L$  times within  $T_n$  for the general case. Referring to Figure 9,  $L$  can be described by the following expression.

$$L = 1 + \left\lceil \frac{T_n - C''_s - S}{T_s} \right\rceil = 1 + \left\lceil \frac{T_n - T_s + C'_s}{T_s} \right\rceil$$

Finally, the utilization utilization for the general case is obtained by Equation (15).

$$U_b = U''_s + n \left\{ \left( 2 - \frac{L U''_s}{R_s} \right)^{1/n} - 1 \right\} \tag{15}$$

The value of Equation (15) is 50% with  $L = 2$ ,  $U_s = 1$  and  $U''_s = 0.5$ . Hence, the worst case is contained. Letting

$U''_s = 0$ , the analysis also contains the case in which there is no task that has the second portion on  $P_x$ . In fact, this case leads to Equation (16) which is the well-known utilization bound of the RM algorithm [15].

$$U_b = n(2^{1/n} - 1) \quad (16)$$

## 6 Simulation Studies

This section evaluates the effectiveness of the RMDP algorithm in terms of the schedulability and the number of task preemptions. The utilization bound was proved in the previous section, and hence the RMDP algorithm can be compared with the traditional algorithms theoretically. However, in order to estimate the performances of the algorithms truly, the sufficient number of task sets with different properties must be submitted to the algorithms, since the utilization bounds of the algorithms actually vary depending on given task sets. The number of task preemptions are also dominated by the characteristics of the given task sets. The evaluations compare the RMDP algorithm with the traditional RM-based algorithms: RM-FF, RM-FFDU, R-BOUND-MP-NFR, RM, RM-US, and WM.

### 6.1 Experimental Setup

The simulations estimate the schedulability of an algorithm as follows. Every system utilization  $U_{sys}$  ranging from 30% to 100%, 1000 task sets with different properties, whose system utilizations are all  $U_{sys}$  equally, are generated and submitted to the algorithm. Then, the success ratio, defined by the following expression, is measured.

$$\frac{\text{the number of successfully scheduled task sets}}{\text{the number of scheduled task sets}}$$

Algorithms having high success ratios are estimated to offer high schedulable utilizations. The definition of a successfully-scheduled task set depends on a scheduling algorithm. For the RMDP, RM-FF, RM-FFDU, and R-BOUND-MP-NFR algorithms, a task set is said to be successfully scheduled if all the tasks can be assigned to the processors, since those algorithms are designed so that no tasks will miss the deadline once they are successfully assigned to the processors. For the RM, RM-US and WM algorithms, on the other hand, a task set is said to be successfully scheduled if all tasks are scheduled without missing any deadlines, since the theoretical utilization bounds of those algorithms are very pessimistic. Meanwhile, the simulations estimate the number of preemptions for an algorithm by calculating its average number, defined by the following expression.

$$\frac{\text{the total number of preemptions in the scheduled task sets}}{\text{the number of scheduled task sets}}$$

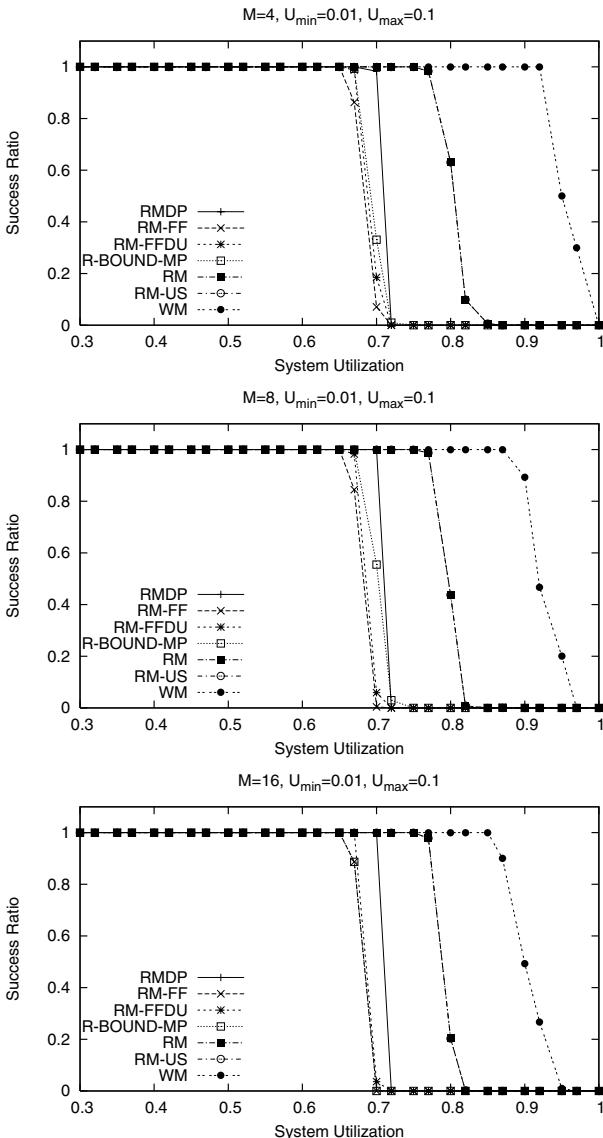
Each simulation is characterized by the four parameters:  $M$ ,  $U_{max}$ ,  $U_{min}$  and  $U_{total}$ .  $M$  is the number of the processors.  $U_{max}$  and  $U_{min}$  are the maximum and minimum values of the processor utilization of every individual task in a given

task set.  $U_{total}$  refers to the total processor utilization of the tasks. The system utilization is defined by  $U_{sys} = U_{total}/M$ , which ranges from 0% to 100%. Although many combinations of the parameters can be considered, the simulations attempt the following combinations due to the limitation of space. The system utilization is determined within the range of [30%, 100%]. Most of the existing algorithms can successfully schedule a task set with a system utilization below 30%, hence system utilizations below 30% are removed. As for the number of the processors, the simulations prepare the three sets:  $M = 4$ ,  $M = 8$ , and  $M = 16$ . The target systems of this research, such as humanoid robots, would make use of multicore processors having such number of cores. In those systems, simple activities can be realized with only light tasks (tasks with low utilizations), whereas enhancing the quality of the activities requires heavy tasks (tasks with high utilizations). Thereby, the simulations prepare the two sets of  $U_{min}$  and  $U_{max}$ :  $(U_{min}, U_{max}) = (0.01, 0.1)$  and  $(U_{min}, U_{max}) = (0.01, 1.0)$ .

### 6.2 Schedulability Results

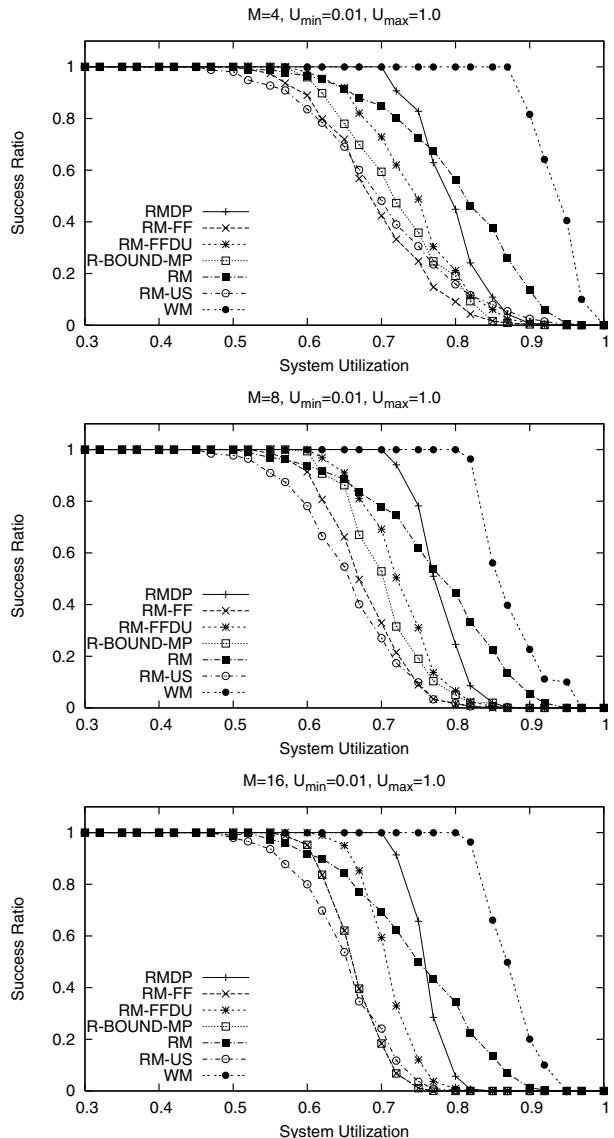
Figure 11 shows the success ratios for each algorithm with respect to task sets in which the utilization of every individual task ranges from within [0.01, 0.1]. The R-BOUND-MP-NFR algorithm is abbreviated as R-BOUND-MP for simplicity. The schedulable utilizations of the RMDP algorithm are around 70 ~ 73%. The RM-FF, RM-FFDU and R-BOUND-MP algorithms are also competitive, achieving schedulable utilizations around 65 ~ 67%, though the RMDP algorithm slightly outperform the others. Therefore, the simulations demonstrate that the partitioned scheduling approaches have little performance difference to light task sets in which tasks have low processor utilizations. The RM and RM-US algorithms generate the same schedule for the case with  $U_{max} = 0.1 < M/(3M - 2)$ . It is remarkable that the RM and RM-US algorithms perform much better than the RMDP and partitioned scheduling algorithms. Since the schedulable utilizations of the RM and RM-US algorithms are dominated by the maximum utilization of every individual task, those algorithms offer excellent performance. However, remember that the timing constraints cannot be guaranteed by the RM and RM-US algorithms, if the system utilization exceeds  $M/(3M - 2)$  [2]. Thus, those algorithms are not desired in safe systems. The WM algorithm achieves schedulable utilizations around 90%, which are much better than the other algorithms. Such a superiority of the WM algorithm is derived from the characteristic of Pfair scheduling, which can make an optimal schedule. Unfortunately, the WM algorithm is not implementable for practical use, since it may invoke a scheduler every quanta.

Figure 12 shows the success ratios for each algorithm with respect to task sets in which the utilization of every individual task ranges from within [0.01, 1.0]. Note that this ranging generates heavy tasks. Unlike the previous results in which only light tasks are generated, the RMDP algorithm clearly outperform the other algorithms except for the WM algorithm. The schedulable utilizations of the RMDP



**Figure 11. Success ratios ( $U_{max} = 0.1$ )**

algorithm are around 70 ~ 73% as well as the previous results. Meanwhile, the RM-FF, RM-FFDU and R-BOUND-MP algorithms have schedulable utilizations around 50 ~ 60%, that is, the RMDP outperform about 10 ~ 20% over the partitioned scheduling algorithms. Therefore, the simulations show that the effectiveness of portioned scheduling is likely to appear with task sets in which some tasks have high utilizations. The RM and RM-US algorithms, on the other hand, offer very low schedulable utilizations. Hence, the simulations evince that the performances of those algorithms are truly affected by heavy tasks. However, it is interesting results that the RM algorithm retains high success ratios in high system utilizations. It even trades the success ratios with the RMDP algorithm in system utilizations over 80%. As stated above, the RM algorithm does not conduct a schedulability test in the simulations, whereas the RMDP, RM-FF, RM-FFDU and R-BOUND-MP algorithms carrying out schedulability tests may reject task sets that can be in fact successfully scheduled. As a result, the four algo-

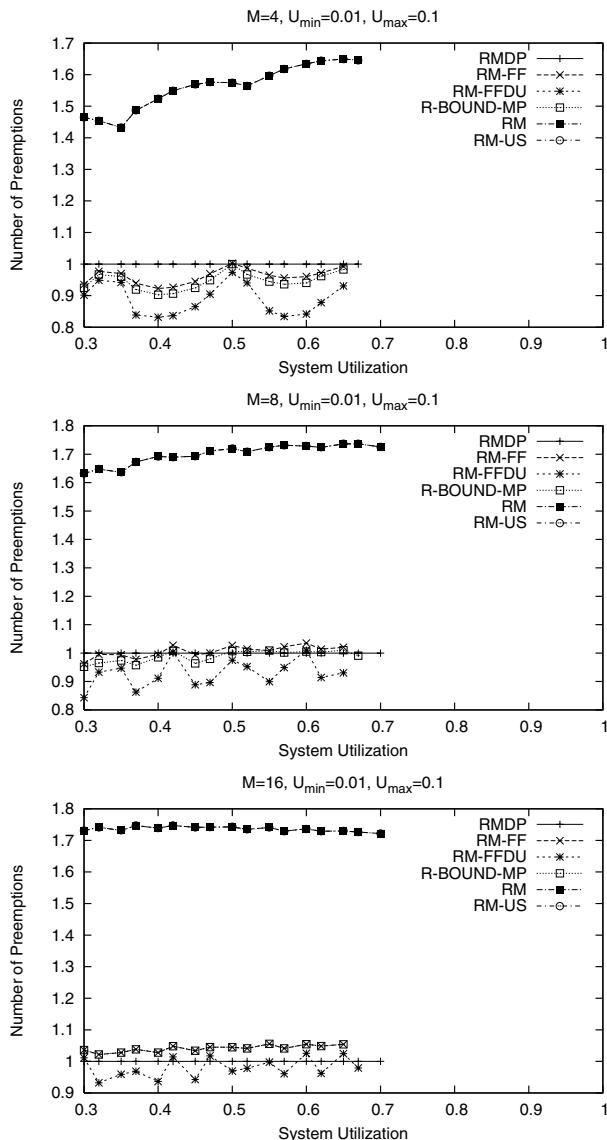


**Figure 12. Success ratios ( $U_{max} = 1.0$ )**

rithms have lower success ratios than the RM algorithm in high system utilizations. Recall that the timing constraints cannot be guaranteed by the RM algorithm, if the system utilization exceeds  $1/M$ . It is surprising that the RM-US algorithm performs much worse than the RM algorithm. It can be considered that the RM-US algorithm disobeys the RM prioritization, thereby deadlines are more likely to be missed, though it improves the worst-case schedulability. The WM algorithm still offers good performance in the presence of heavy tasks in exchange for a great deal of computation complexity.

### 6.3 Preemption Results

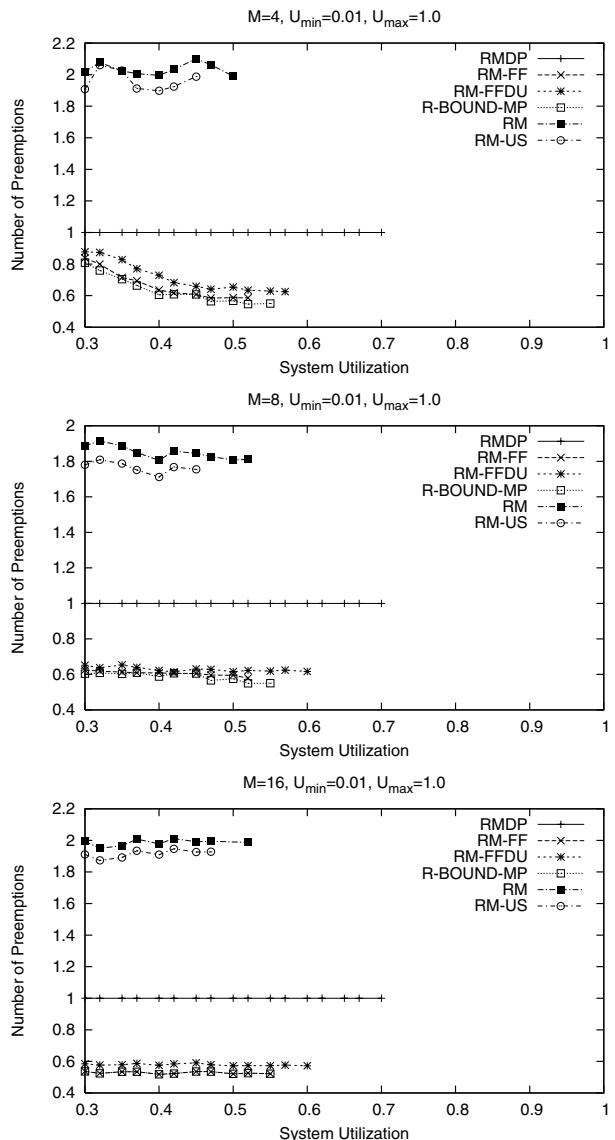
For calculation of task preemptions, the simulation intervals are set the smaller of the least common multiple of the task periods in the given task set and  $2^{32}$ . Then, for each algorithm, the average number of task preemptions in the 1000 task sets is calculated every system utilization.



**Figure 13. Task preemptions ( $U_{max} = 0.1$ )**

Then, the number of task preemptions for each algorithm relative to that for the RMDP algorithm is calculated only for the case in which both the target algorithm and the RMDP algorithm have a schedulable utilization of 100%. The WM algorithm consistently generate more than fifty times as many preemptions as the RMDP algorithm, hence it is not included in the results.

Figure 13 shows the numbers of task preemptions for each algorithm relative to that for the RMDP algorithm with respect to task sets in which the utilization of every individual task ranges from within [0.01, 0.5]. The RMDP algorithm causes slightly more preemptions than the RM-FF, RM-FFDU and R-BOUND-MP algorithms, but they are almost competitive. The additional preemptions occur in the RMDP algorithm for the case in which the first portions of split tasks are dispatched when their corresponding second portions are in execution. Since the utilizations of the tasks are relatively low in the discussed simulations, the first and the second portions of split tasks have less chance to be



**Figure 14. Task preemptions ( $U_{max} = 1.0$ )**

overlapped. As a result, the number of preemptions in the RMDP algorithm is not increased very much. The RM and RM-US algorithms generate about 1.5 ~ 1.7 times as many preemptions as the RMDP algorithm. In those algorithms, one global scheduler manages all the tasks, and hence the priority order of the tasks are more likely to be changed compared to the RMDP and partitioned scheduling algorithms. As a result, the RM and RM-US algorithms grow the numbers of preemptions.

Figure 14 shows the numbers of task preemptions for each algorithm relative to that for the RMDP algorithm with respect to task sets in which the utilization of every individual task ranges from within [0.01, 1.0]. The presence of heavy tasks expands the performance difference between the RMDP algorithm and the partitioned scheduling algorithms. In the RMDP algorithm, preemptions occur every time the first portions of split tasks are dispatched while their corresponding second portions are in execution. Since the tasks are likely to have high utilizations, such preem-

tions are likely to happen, and hence the numbers of preemptions in the RMDP algorithm are boosted up, compared to the partitioned scheduling algorithms in which such preemptions never occur. The resulting numbers of preemptions in the RMDP algorithm are  $2.0 \sim 2.5$  times as many as those in the partitioned scheduling algorithms, though they are much fewer than the RM and RM-US algorithms.

The impact of the performance difference in the number of preemptions to the system depends on the ratio of the processor time consumed by the task executions and the processor time consumed by the task preemptions. For example, the RMDP algorithm achieves schedulable utilizations about 10% higher than the RM-FFDU algorithm, while it incurs about 1.8 times as many preemptions. Therefore, the RM-FFDU algorithm may be better than the RMDP algorithm, if the scheduler consumes more than about 11% of the overall system time for the task preemptions. The system designer should take this fact into account. As long as this paper simulated, the RMDP algorithm seems better than the RM-FFDU algorithm, because the scheduler hardly consumes 11% of the system time for the task preemptions, considering the specifications of the current processors.

## 7 Conclusion

This paper presented the RMDP algorithm that combines the portioned scheduling technique and the Rate Monotonic algorithm. The theoretical description gave that the RMDP algorithm is well implementable, since it incurs only a little implementation in addition to the partitioned RM algorithm. The schedulability analysis derived that the worst-case utilization bound of the RMDP algorithm is 50%. The simulation studies demonstrated that the RMDP algorithms successfully scheduled the task sets with higher system utilizations than the traditional RM-based algorithms, without generating many preemptions. Besides, it is guaranteed by the theory that the degree of task migrations for the RMDP algorithm is suppressed so that at most  $M-1$  tasks occur migrations and each of them migrates between the restrictive two processors. In consequence, this paper believes that the RMDP algorithm can be a new choice for scheduling recurrent real-time tasks on multiprocessor platforms.

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